Law and Hermeneutics in Rabbinic Jurisprudence: A Maimonidean Perspective
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Jewish jurisprudence is the oldest evolving legal system in history. It has existed since pre-biblical times, and continues in our own day both in the modern State of Israel and throughout the diaspora. Rabbinic tradition stands at the center of this system. This tradition perceives itself as the authoritative foundation and the historical bond linking the Jewish people from the dawn of time to the present. The rabbinic tradition functions as an apparatus that processes and catalogues data and opinions facilitating juridical interpretations and decisions. This Article examines that apparatus by exploring its underlying concepts of law and hermeneutics.

Contemporary notions of rabbinic jurisprudence have been affected by the general trend of hellenizing Jewish literature and ideas. Rabbinic texts are ordinarily examined through hierarchical distinctions and categories peculiar to Western classical studies. The basic assumption underlying this methodology is that the rabbinic truth is essentially platonic. As such the purpose of rabbinic exegesis is to "uncover" the text and reveal its "true meaning." This method reflects the scholastic view that the "literal sense" of the Scripture is what the author intended. n1 Once the "intention" of the author has been determined, the text itself becomes insignificant - a "metaphor" marginal to its "true meaning." The object of interpretation thus becomes displacement of the text. This view is intrinsic to Western tradition, in general, and Christianity, in particular, where writing is displaced on behalf of logocentrism. The classic example of this type of hermeneutics is the Christian Scripture interpreting, and thereby displacing, the Hebrew Scripture. It is worth noting that John's logos n2 (word) is "unwritable," and therefore anti-book and anti-text. By way of contrast, the logos of Philo and the memra (word) of the rabbis do not exclude writing; writing is creation itself. n3

The idea of writing as creation reflects the rabbinic concept of exegesis. It generates rather than discovers, meaning. Commenting on the verse, "and I shall give you the tablets of stone, and the law and the commandment which I wrote to instruct them," n4 the rabbis taught as follows: "the tablets of stone' - this is the Miqra (Scripture); "the law' - this is the Mishnah." n5 If the text is like stone, then exegesis is the "a blow of a hammer," giving forth various sparks. Like the stone, the text itself remains inviolable and absolute, whereas the explanations and commentaries flee like sparks. In explaining the polysemic character of the Scripture, the rabbis stated, "Just as each blow of a hammer strikes forth many sparks, a single verse unfolds into many senses." n6 Exegesis serves to reinforce and supplement the oral tradition; it can never be the explanation of a text. In contemporary terms, this means that the rabbis viewed the text as a semiological composition whose unit, the word, is a sign which is not subject to definition; it is either recognized or not. As Emile Benveniste shows, "in semiology there is no need to define what a sign signifies. For a sign to exist, it is necessary and sufficient that it should be received and that it should be related somehow to other signs." n7 At the semiological level, whether or not a sign signifies is a matter of recognition, not interpretation. "Does the entity in question signify?" n8 The answer must be an unequivocal yes or no. "If it is yes, everything was said, and it is registered; if it is no, it is rejected, and also everything was said." n9 Exegesis pertains to the semantic aspect of the word, where meaning is generated by establishing new connections. n10

Out of this background information, two fundamental points follow. First, rabbinic exegesis is not platonic. From this perspective it would be faulty to apply to rabbinic ideas and institutions the theological notions associated with Christian hermeneutics.
Second, there is no basis for the assumption that our hellenistic views are indeed universal. [^1659] In examining rabbinic jurisprudence it is unwarranted to assume that rabbinic ideas and institutions are identical to those found in Western tradition. Furthermore, without acknowledging the "differences," similarities between these separate systems are meaningless. Conceptually, a proper methodology for the study of rabbinics, in general, and the Jewish legal system, in particular, will require a radical revision of standard hellenistic assumptions.

There are historical reasons requiring such a radical revision. Due to the peculiar circumstances in which rabbinic jurisprudence developed, it is structurally unique. To understand the substance and procedures of rabbinic jurisprudence, one need not draw parallels with similar laws and institutions found in other legal systems, which ignore the specific character and function of the rabbinic method. For example, one might compare the rabbinic concept of an unwritten law with that of the ancient Greeks. The parallel, however, is trivial unless one also recognizes that, within each system, the unwritten law had a radically different design. Whereas in Western legal tradition, the jurist supplements the written legislation by appealing to the "unwritten law," in the rabbinic system, the rabbis supplemented the oral law by appealing to the Scripture. n11 Indeed, this Article will show that even such basic concepts as "sovereignty" and "authority" are substantially different in rabbinic jurisprudence than in the Western legal tradition.

The major thrust of this Article is to examine the concepts of "law" and "hermeneutics" in rabbinic tradition. This investigation has followed the legal tradition of Maimonides. n12 Rabbinic literature, in general, and the Talmud, in particular, have been interpreted by various authorities during medieval times. Yet this author chooses to base this study on Maimonidean tradition for three reasons. First, historically, Maimonides' understanding of rabbinic jurisprudence is closely associated with the intellectual tradition of the Geonim. n13 The academies of the Geonim were organically connected with the actual schools in which the original talmudic literature was compiled and taught. Second, structurally, Maimonides' Mishneh Torah is the only code ever produced comprising the entire rabbinic legal system. Therefore, through Maimonides' work, a particular legal theory can [^1660] be tested against all other elements of the system. Finally, conceptually, Maimonides' legal system affords a comprehensive view of the rabbinic legal system according to juridical rather than theological or metaphysical principles. This last point is essential in characterizing the legal mind of the rabbis in contemporary legal terms.

I. Law in Rabbinic Jurisprudence

A. The Nomocratic Society

"Law" in Jewish tradition is a radical concept with no parallel in legal thought. In contradistinction with other democratic systems, where the demos or "people," as an absolute empirical object, are the ultimate source of authority, the people of Israel recognized the absolute authority of the law. The people acted as the depository of that law. n14 Thus, Judaism may be properly described as a "nomocratic" system. The "Law," referred to as torah in Hebrew, nomos in Greek, lex in Latin, and shari'a in Arabic, is the sole ground of authority. Again, whereas in other legal systems, law is the effect of authority, in Judaism authority is the effect of the law. n15 Therefore, all forms of [^1661] authority are limited by the law.

Jewish law is the result of a bilateral covenant contracted between God and the Jewish people at the foot of Mount Sinai. According to rabbinic tradition, the covenant contains six hundred and thirteen misvot or "articles" regulating all of Jewish life. n16 The covenant is both "divine" and "eternal." Since it is "divine," it requires no promulgation. It binds the contracting parties at all times and in all societies. This principle is known as torah min
hashamayyim expressing the tenet that the "Law is divine." Rather than a theological doctrine, this is a fundamental legal principle postulating that the law requires no promulgation or earthly authority to sanction it. From this perspective, God is the consequence, not the cause, of the law. This radical idea is implied in a rabbinic doctrine, widely held throughout the Jewish world, whereby the first verse to be taught to a child is "Moses has commanded the Law to us, it is the legacy of the congregation of Jacob." Only afterwards is the child to be taught "Hear O Israel, the Lord our God, the Lord is One." As it were, belief in God is subsequent to, and a result of, belief in the Torah.

This radical idea was codified in Maimonides' Mishneh Torah. Belief in God is categorized as a mis ṭub or article of the covenant. Orobio de Castro pointed out that since belief in God is a consequence of the law, disbelief in the law implies disbelief in God. From this perspective, there is no distinction between rejecting the law and atheism. Within this specific context, the ultimate grounds for belief in God are legal, not theological or metaphysical. Indeed, by codifying the belief in God as a mis ṭub, Maimonides was stipulating that that belief is a covenantal or a legal obligation, and not a theological doctrine.

Since the ultimate recognition of God is the law, and not some metaphysical notion, were God to contravene any of the elements of the law, He would not be obeyed. Accordingly, the Talmud identifies the eternity of the law with the biblical principle "lo hashamayyim bḥ" ("The Law is not in heaven") by explaining that the divine lawgiver may no longer promulgate new laws or reinterpret the laws of the covenant. Indeed, the law cannot be abrogated even by a divine agency. Since the covenant was not imposed but negotiated by the two parties - God and Israel - neither may abrogate any of its terms.

The notion that God is subject to the law, and that He cannot abrogate it, leads to two of the most significant aspects of rabbinic jurisprudence: the exclusion of "violence" and, its ensuing consequence, equality before the law. Whereas the pagan mind conceives of legal relationships in hierarchical terms, determined by an initial act of "violence," the Jewish bilateral covenant implies the absolute horizontality of the contractual parties. Authority, whether political, ecclesiastical, or judicial, is the effect, not the source, of the law. Conceptually, there is no difference between the inauguration of a system by an original act of violence, or the abrogation of an existent order. Indeed, all revolutionary systems are inaugurated by a two-directional act of violence, simultaneously abrogating the old order and establishing the new order. Denial of the possibility of abrogating the law constitutes a formal rejection of the pagan idea that "violence" stands at the very basis of all "legitimate" political and judicial systems. In the pagan mind, law and authority are, necessarily, the effect of "a monopoly of violence."  

We may now have a better understanding of the Jewish and Christian views about the abrogation of the law. The Christian claim that the law was abrogated by a "new," and hence more "powerful" order, rests precisely on the belief that "law" is structurally connected with "violence," and is therefore antithetical to "love." Ironically, by linking this "love" to an inaugurating act of abrogation, Christian love became formally and inextricably connected with "violence."

B. Individual Autonomy and the Jewish Constitution

Reflecting the pagan idea that "violence" stands as the basis of the legal and political systems of a nation, John Austin views "law" as a command expressing the will of the political superior, and thus the effect of authority. The magistrates and institutions issuing laws and legal decisions derive their authority from the sovereign. Since sovereignty cannot be limited, the sovereign is
not subject to the law. Thus, the sovereign is the basis of law, not its effect. n30 Herbert Marcuse referred to this domination of the people by the ruling authorities, when he remarked:

The only authentic alternative and negation of dictatorship (with respect to this question) would be a society in which "the people" have become autonomous individuals, freed from the repressive requirements of a struggle for existence in the interest of domination, and as such human beings choosing their government and determining their life. Such a society does not yet exist anywhere. In the meantime, the question must be treated in abstracto - abstraction, not from the historical possibilities, but from the realities of the prevailing societies. n31

Autonomy is a fundamental Jewish concept. It means self-government according to one's own laws and criteria. A society is autonomous when the ordering of human conduct and the adjustment of human relations are relative to its own criteria and interest. Thus, it presupposes a law independent of the political and religious bureaucracies and recognized by all as the sole source of authority. Unlike freedom (ofesh) which is a negative concept with negative connotations (for example, freedom from hunger or from oppression), autonomy (erut) is the affirmation of certain inalienable rights contracted with God. The function of the law is to guarantee both public and individual autonomy. Without the law, autonomy is not possible. As the rabbis taught, "no one is autonomous (ben orin) unless he is engaged in the study of the Torah." n32 Since the Jew is an autonomous [*1664] entity, he owes allegiance to the law, rather than to the sovereign or body politic. Every morning the Jew celebrates his autonomy by thanking the Lord both for not being born a "gentile" and for not being born a "slave." n33

Jewish law defines the responsibilities and authority of its political, ecclesiastical, and judicial institutions. When any of these institutions fail to comply with it, they lose their legitimacy. Hellenistic Jewish writers referred to the Torah as the "constitution" of the Jewish people. Indeed, Philo viewed the Pentateuch as "the ideal Constitution." n34 In this respect, the Pentateuch is the basis of all authority: political, ecclesiastical, and juridical. As opposed to the role of the sovereign presented in the works of Hobbes and Spinoza, n35 Judaism records the sovereign as subject to the law. Quoting a Greek proverb, "That for the king the law is not written," a rabbi in the Talmud commented as follows: "Ordinarily, when a human king issues a decree, if he chooses, he obeys it, otherwise only others obey it; but when the Holy One, blessed be He, issues a decree, He is the first to obey it." n36 The rabbinic position on this matter coincides with separation of kingship and divinity in ancient Israel. Addressing this fundamental point, a distinguished historian remarked: "In the light of Egyptian, and even Mesopotamian, kingship, that of the Hebrews lacks sanctity. The relation between the Hebrew monarch and his people was as nearly secular as is possible in a society wherein religion is a living force." n37 The king's secular role in ancient Israel led to what may be properly described as the "separation of powers." Unlike pagan society where the monarch is the head of the Church, the Hebrew king was not the head of the sanctuary or directly involved with the temple rituals. Professor Abraham Joshua Heschel notes: "In Israel, the king was not a priest. He was sanctified by his anointing, appointed by God; in his person centered the hopes of the people, yet sacerdotal functions were regarded as the heritage of the tribe of [*1665] Levi." n38 When, occasionally, some kings wanted to arrogate for themselves such prerogatives, they were strongly resisted. For example, Heschel continues, "When Uzziah entered the Temple to burn incense on the altar ... he was told by the high priest, "it is not for you, Uzziah, to burn incense to the Lord, but for the priests, the sons of Aaron, who are consecrated to burn incense. Go out of the sanctuary ...." n39 Biblical prophecy is a direct consequence of the idea that the
C. The Autonomy of the Law

Belief in the autonomous status of the law underlies much of biblical and post-biblical Jewish history. Throughout the ages, the political, ecclesiastical, and judicial authorities were challenged by the people in the name of the law.

In the Bible, the episode of Ahab and Naboth illustrates how even tyrants were expected to recognize the absolute authority of the law in ancient Israel. When Naboth refused to sell his vineyard, Ahab felt despair, not knowing what to do. His pagan queen, Jezebel, the daughter of a Syrian King, suggested trumped up charges against Naboth. Yet even during that notorious incident, judicial procedure was meticulously observed. More importantly, the episode was denounced for generations as a most heinous crime. n47 Talmudic sources also underscore that the monarch may not transgress the law. The rabbis reported a confrontation between Simeon ben Shetah n48 and a Jewish king, who was summoned to appear in court and hear charges, just like any other person. n49 The rabbinic doctrine of *malkhut shamayim* (kingdom of heaven), n50 usually understood in theological terms, is really a political concept meaning that the sovereignty (the kingdom) of the law (of heaven) is supreme. From this doctrine, two legal principles emerge. First, there is the concept of "*en shalit lidvar averah*" ("there cannot be a fiduciary relation in matters involving a transgression"). Second, there is the maxim of "*divre harav vedivre talmid divre mi shom'im*" ("the orders of a superior authority and the orders of an inferior authority, whose orders shall we obey?"). n51 The first statement asserts that an individual is responsible for his own actions, and cannot claim that he was acting as an agent for someone else. The second statement conveys the principle that there can be no legal duty to act on behalf of another person in illegal matters. Neither the king nor any other authority may be obeyed in matters involving the breaking of the law. n52
These standards apply to the ecclesiastical authorities as well. They, too, are under the absolute mandate of the law. When acting outside the confines of the law, the priesthood has no authority. In the Bible, the conflict between Amos and the high priest Amaziah, illustrates this principle. n53 The story depicts how Amos, a common man who held no religious office, challenged the authority of the high priest in the royal sanctuary. Even though the priesthood enjoyed an eminent status, nonetheless it was subject to the law, and had to be measured by it. n54 The Talmud describes a similar incident during the Second Temple period in Jerusalem. The rabbis reported a clash between the king, who was also a high priest, but who had deviated from prescribed ritual, and the people. n55 Josephus described this incident as follows:

As for Alexander, his own people revolted against him - for the nation was aroused against him - at the celebration of the festival, and as he stood beside the altar and was about to sacrifice, they pelted him with citrons, it being a custom among the Jews that at the festival of Tabernacles everyone holds wands made of palm branches and citrons - these we have described elsewhere; and they added insult to injury by saying that he was descended from captives and was unfit to hold office and to sacrifice; and being enraged at this, he killed some six thousand of them, and also placed a wooden barrier about the altar and the temple as far as the coping (of the court) which the priests alone were permitted to enter, and by this means blocked the people's way to him. He also maintained foreign troops of Pisidians and Cilicians, for he could not use Syrians, being at war with them. n56

Judicial authority as well must follow the law. If the Supreme Court of Israel, or a lower court, issues a decision contrary to the law, [*1668] it is not to be obeyed. n57 Maimonides codified the rule that if the proper Jewish authorities had appointed an unqualified person as a judge, that appointment would be worthless. n58 As to individuals who were appointed as judges because of money, the rabbis taught:

Rabbi Mane would deprecate those who were appointed because of money. Rabbi Amme applied to them the verse "Gods of silver and gods of gold do not make for yourselves." Rabbi Jashia said, his talli (mantle) is to be regarded as the backstrap of a donkey. Rabbi Ze'ira and a rabbi were seated. One of those who was appointed because of money passed before them. Said that seated rabbi to Rabbi Ze'ira: Let us pretend that we are studying, so that we would not need to stand up before him. n59

In the matter of incompetent judges duly appointed by the Exilarch, Hai Gaon n60 issued the following decision:

Concerning your query about judges that impound the beds of the poor and other objects not in accordance to the law of the Torah, and consequently the creditors come and rob their houses and loot their beds and utensils which cannot be legally impounded, and you have no power to constrain them. Let the spirit of those judges be accursed! They are the judges of Sodom. Robbers and Thieves! Concerning them it is written: "You have looted the vineyard, the loot from the poor is in their houses." Therefore, you must disseminate the word among all your neighbors and nearby places, and disgrace them and remove them from office, since they do not care about the Torah and the words of our Rabbis, of blessed memory. And you, who know the law of the Torah and Rabbinic statutes, organize, take council, deliberate, and bring forth from among you God-fearing men and scholars who care for the honor of the Torah, and appoint them over you. You should have no second thoughts about this matter. n61

Political rulings are also not to be obeyed if they violate the law. The same principle was applied to the political authorities. In a decision of the Geonim it was concluded:

A king, governor, or tax-collector who sends agents to the community to excommunicate for his own private needs and endeavors, [*1669] either to punish or to seize Jewish money - and it is impossible not to excommunicate because of his coercion. All excommunications that are issued by him are
worthless, and no one should pay any attention to them. In the same fashion, if an Israelite who had deposited money with a friend, and he was denounced, and the king ordered that he who received the deposit should be excommunicated, and the confidant does not want to disclose the whereabouts of the money except to the heirs as required by the law in order to pay that is, the debts incurred by the deceased, then blessing shall descend on him, and the baseless curse will not come! No one should heed to that ban and excommunication. And we must acknowledge him the confidant for the good that he did, and bless him because he persisted in his faithfulness, and he is compassionate with the heirs. Concerning this man it is written, "My eyes are on the faithful of the earth." n62

In short, throughout its history, Judaism has fastened to the principle that all forms of authority must be grounded in the law. The undesirability of assimilating with other political, religious, or legal systems is a corollary of having rejected the notion that authority is the effect of power, that is, "violence."

D. Exile: Sovereignty Without Territory

In its barest form, the Exile (galut) is a political theory stemming from the Jewish concept of law. It means that the Jewish nation was not dissolved with the territorial loss of the land of Israel. This claim rests on the principle that Jewish sovereignty is not predicated on the control of a particular geographical area, but on the law establishing the internal legal, religious, and cultural institutions governing the Jewish people. Contrary to pagan thinking, whereby the right of the sword, the merum imperium, or absolute power - the "monopoly of violence," in the language of Derrida - underlies the right for civil and criminal administration of justice, the law is the only basis of authority in Judaism. Therefore, although vanquished in war, the Jewish nation was not dissolved.

With the destruction of the Temple in 70 C.E. and the subsequent exile, the Jewish people politically redefined their national sovereignty. Henceforth, Jews identified themselves and were recognized by the host country as members of "the Jewish nation in Exile." n63 Accordingly, the admission of Jews into a new country was not merely a matter of freedom of religion, but rather, of reorganizing a [*1670] juridically autonomous entity. For example, when the first group of Jews sought permission to settle in New Amsterdam in January 1665, the petition was made in the name of the "Jewish nation." n64 Whatever Governor Peter Stuyvesant's personal feelings concerning Jews and Judaism may have been, his refusal to grant them permission was not simply a question of religious freedom. The situation of the Jews, believing themselves to be a legal entity autonomous from the state, raised highly complex issues. A Christian sect might claim to be a separate religious group, but not a separate national entity. So while the government may have been willing to grant religious freedom to its subjects, recognizing Judaism's political and judicial rights as a nation involved not only respect for legal pluralism and non-state legal orders, but a redefinition of "national sovereignty."

Accepting the Jews would have been an implicit acknowledgment of political entities existing within the state that are not subsidiary organs of the national polity. n65 The same problem reemerged within the American legal system when it came to defining the legal status of native Americans. It is a remarkable fact that the United States authorities awarded to the American Indians an analogous status to that of the early Jewish settlers, and recognized them as separate "nations." n66

E. The Jewish "Constitution" versus the United States Constitution

In concluding the discussion on the place of law in rabbinic jurisprudence, this author wishes to highlight the differences between Jewish law as a constitution and the United States Constitution. First, Jewish law does not require promulgation or the sanction of authority. The law is valid even when the Jewish people no longer enjoy national territory. Accordingly, there could be a Jewish
nation without territory or any political and judicial institutions essential for the government of a people. The Jewish status of galut, as a nation in "Exile," is the historical embodiment of the aforementioned principle. Although bereft of all political and national institutions, the Jewish people recognize the "Law," and not the host country, as their supreme authority. As a correlative principle, the messianic ideal asserts that eventually a perfect Jewish state will be established in full accordance with the Law. Therefore, by definition, all political allegiance to other states or systems are "temporary." The Jewish claim [*1671] to the Holy Land is based, precisely, on the premise that because of the law, the Jewish nation was not dissolved. n67

Second, the Supreme Court of Israel is subject to judicial error, for which it must bring an expiatory offering. The Supreme Court of the United States, although occasionally "erroneous," in the words of Lincoln, can never be subject to judicial error. n68 Even in the rare instance where a Supreme Court decision is overruled, it is always the Supreme Court which makes the revision. As Chief Justice Marshall declared, it "is emphatically the province and duty of the judicial department to say what the law is." n69 Similar to Jewish law, there are, of course, learned analyses regarding the precise authority of the Supreme Court's exposition of constitutional law. Some, such as the former Attorney General of the United States, Edwin Meese III, distinguish "between the Constitution and constitutional law." n70 There is also disagreement about the exclusive authority of the Court's interpretation of the Constitution, particularly in regard to the other branches of government. n71 At any rate, only when examining the Supreme Court's adjudications from a non-judicial perspective (political, public morality, etc.) could they be classified as "judicial mistakes." There can be no "mistakes" when these decisions are examined from a strict judicial perspective. n72 Despite the rhetoric and background noise surrounding some Supreme Court constitutional decisions, for all legal and practical purposes, the Constitution is what the Supreme Court declares it to be.

Third, in Judaism, the law is binding on all forms of authority. In the United States Constitution, there is separation of church and state. n73 Therefore no parallel can be drawn between the American legal system and the Jewish principle that the highest ecclesiastical authorities are subject to judicial error. The area where proper comparison may be made is in the status of the civil sovereign. n74 Significantly, the American legal system has not yet developed an equivalent [*1672] to the talmudic tractate Horayot, nor can it point to an American counterpart of a Jewish king responding to a summons of the Supreme Court.

II. Hermeneutics in Rabbinic Jurisprudence

A. The Place of Hermeneutics Within Rabbinic Jurisprudence

Rabbinic institutions have three bases of authority: (1) the transmission of authentic traditions stemming from Sinai; (2) the promulgation of new statutes and legislation designed to serve as "a fence around the law"; and (3) the right to interpret Scripture. n75 The authority for the first two is a consequence of the third. Scriptural grounds for the transmission of the oral law and the enactment of legislation are based on canonical exegesis (midrash, derashah).

Indeed, Judaism owes its very existence to exegesis. Through exegesis, Judaism was able to grow and develop in the most adverse and diverse circumstances, without having to lose its connection with Scripture. Intimately connected with exegesis are the *dinim mufla'im* (undefined laws or *casus omisus*). There is purposeful ambiguity in the Law designed to allow for adaptability and development. Judaism recognizes that there are terms in the Scripture which were not defined by oral tradition, and thus these terms can be defined exclusively by the judiciary. Concerning the
right of the judiciary to define these terms, the rabbis taught that the decision of the Supreme Court cannot be challenged "even when they tell you that your left is your right and your right is your left." n76

Most talmudic debates regarding biblical exegesis revolve around the dinim mufla'im. n77 By applying exegesis to the undefined terms of a law, the judiciary was able to accommodate the law to the new developments and circumstances. A glaring example is the definition of "ayin taat ayin" ("an eye in place of an eye"), n78 where taat is interpreted to mean "monetary compensation." n79 Occasionally, laws that were no longer compatible with public morality were restricted by exegesis, making their literal application impossible. One such law concerns the right of parents to have a rebellious son put to death by [*1673] the court. Another example of exegesis redefining the law is the requirement that no criminal punishment can be imposed unless the criminal has been formally forewarned by the witness and has acknowledged their warning. n80

Hermeneutics became more important with the loss of national autonomy and institutions after the destruction of the Temple in 70 C.E. Without exegesis, Judaism would have had to either break with the Scripture, or reduce its growth to the sociopolitical and religious institutions of biblical Israel. In either case, it would have meant the end of the Jewish people as a distinct biblical religion. n81 The issue of serving God through sacrifices accentuates the role exegesis and hermeneutics played in post-Temple Judaism. After the destruction of the Temple and the dispersion of the Jews throughout the diaspora, sacramental sacrifices were abolished. Without exegesis, the alternatives would have been fatal for Judaism. One alternative would be to institute minor temples outside Zion - as was done by the Elephantine community and some Alexandrian Jews - thus breaking with the biblical ideal of a centralized temple in Jerusalem. The other approach would be to eliminate the religious services altogether - just as the laws of purity and the agricultural tithes outside the land of Israel were rescinded after the destruction of the Temple. In either case, there would have been an end to both the Jewish people and the Jewish religion.

The quandary was resolved through hermeneutics. The rabbis interpreted the expression "nu'avo bekhol levavkhem" ("and to serve Him with all your heart") n82 to mean that in addition to offering sacrifices, another way to worship God is through the service of the heart - that is, prayers. n83 In Scripture, "avodah," or "service," to God always involves sacramental sacrifices. By focusing on the "service-heart" connection, the Rabbis were able to sanction a new form of worship, whereby the prayers would replace (not displace!) the sacramental sacrifices. This fundamental tenet resulted in the Rabbinic principle of "tefillot keneged temidim tiqqenum" ("the prayers were instituted as parallels to the daily-sacrifices"). n84 The substitution of the Temple by the synagogue and the priest by the pious sage were a further consequence of this exegetical formulation. This effect totally revolutionized the history of religion. Again, it should be emphasized [*1674] that the transformation was effected not by the rabbis breaking away with the Scripture but by basing the new method on Scriptural exegesis. It is interesting to note that both the church and the mosque subsequently evolved from the synagogue and not the Temple. Thus, rabbinic exegesis was instrumental in revolutionizing the concept of religion throughout much of the civilized world.

B. Reading as Writing: Derashah and the Collusion Reader-Text

There are two types of exegesis. One is platonic, while the other is stoic. n85 Platonic exegesis assumes a theory, postulating a priori knowledge of the "ideal Forms." As Julia Kristeva explains, "it seems that one does not interpret something outside theory but rather that theory harbors its objects within its own
logic." n86 This methodology is consistent with the principle that authority both antecede, and be independent of, the text. The ultimate grounds of interpretation is the theory, not the text. Early Christian exegesis is Platonic. In Pauline terminology, "the spirit" supercedes and displaces the "letter" of the Scripture. It is because the interpreters incarnate the theory that they have authority to expound the text. As with the Greek aletheia, interpretation "uncovers" the "ideal Forms" in the text. The agenda of the interpreter is to "uncover" the text and "discover" the ideal forms; more precisely, his agenda is to project those forms onto the text. In this way, interpretation displaces the text. Accordingly, in Christian tradition the New Testament displaces the Hebrew Scripture precisely by being its "true" interpretation.

For the rabbis, akin to the Stoics, interpretation involves "making connections" in the text as with the connection "service-heart" mentioned above. n87 The interpretation, however, can never displace the pesha (the manifest tenor) of the text. Thus, the rabbinic principle provides "en migra yoe midai peshuo" ("a verse which was the object of exegesis does not lose its manifest tenor"). n88 Accordingly, the interpretation is surveyed in light of the text and not the other way around. This methodology is consistent with the premise that interpreters have authority because they authentically interpreted the text; an interpretation is not "authoritative" simply because it was issued by people with "authority."

The rabbis of the Talmud and the Midrash did not set up rules to decide between different hermeneutical options, or to distinguish between legitimate and illegitimate exegesis. Rabbinic sources view all forms of hermeneutics as legitimate. Even the thirteen rules of hermeneutics (shelosh esrei middot shebatorah nidreshet) are sufficiently broad to allow for all types of interpretations and associations. Since the rabbis regarded the entire Scripture as a collection of semiotic symbols, every aspect of the text could be "connected" through derashah with one another. In rabinic literature, even those elements void of lexical meaning, like defective and full spellings, particles, prepositions, calligraphic ornamentation, and even the shape of letters, may be "connected" and acquire "significance" through canonical exegesis. n89 According to the rabbis, Moses discovered God "tying up" - in the sense of making connections - "the calligraphic ornamentations" of the Torah. n90 By means of derashah the reader does not discover, but generates meaning.

One of the most important aspects of the derashah is that the text is interpreted independently of the author's intention. Notions of "original intention" are Platonic. According to the "originalist" perception, the judiciary "discovers" the "true" or "real Form" lying somewhere in the mind of the legislator. The rabbinic doctrine of derashah is consistent with the principle "lo bashamayyim bi." n91 What was ratified at the covenant on Sinai was not the "intention" of the lawgiver, but the actual law, as understood by those who received it. n92 It follows that the task of the judiciary is not to recapture the "original intent" of the legislator, but to apply the text of the law to the situation at hand, by making innovative connections, generating, thereby, fresh meaning and understanding of the law. n93

The rabbis approached the text as if it were a semantic composition. Exegesis borders into the aesthetic. Like in music and painting, there is a complete collusion between the exegete/artist and the elements that were incorporated into the derashah/artistic composition. [*1676] The elements used in creating the artistic composition lose their original value in isolation (that is, before they were "connected"), acquiring a fresh significance in the "composition" of the derashah. The total significance of the derashah-unit is not the sum of the individual significance of the parts. For instance, in the above mentioned derashah, the isolated meaning of the term "avodah" and the term lev
do not add up to tefillah (prayer). It is through the construction of the derashah-unit "prayer" that the semiotic lexical meaning of the terms is transformed into a semantic composition. Accordingly, whereas at the peshat level (sense), \( S = (A) + (B) \), at the derashah level, \( S = (A) + (B) + n \).

Because a derashah is a semantic composition not reducible to its constitutive elements, it is accepted or rejected by the same process that one accepts or rejects any artistic composition. At the level of derashah, there is no "objective" text; the meaning of a unit is not reduced to the sum of its elements in isolation. Consequently, the rabbis taught, "One cannot raise an objection against a homily." n94 At the level of derashah, there is a complete collusion between the reader and the text. The "connections" made in the text are the creative composition of the reader functioning as an author. n95 To underscore this point, the Geonim and Maimonides postulated the principle that everything stemming from rabbinic exegesis is not a scriptural (deorayta) but a rabbinic (derabbanan) obligation. Rabbinic exegesis is the creation of the rabbis, rather than the stipulation of the text. n96 This position parallels the distinction made by contemporary jurists "between the Constitution and constitutional law." n97

There are however, definite limits to the reader-text collusion. Certain types of exegeses are regarded as illegitimate and offensive. Among those who have forfeited the World-to-Come and fellowship with the people of Israel are those engaging in "derashot shel dafi" ("exposition of faltering interpretations"). n98 This category includes [*1677] all the Gnostic, Christological, and antinomial exegeses.

Our focus now is to examine the perimeters of readerly collusion. Specifically, by which criterion did the rabbis distinguish between a legitimate derashah that may be accepted, and a Christological or antinomial derashah, that must be rejected as offensive and illegitimate?

C. Subverting the Text: The Limits of Readerly Collusion

The perimeters and limits of derashah and reader-text collusion are those of all judicial interpretation. In Judaism, the Torah is principally the Law of Israel. Indeed, the rabbinic institution of derashah is modeled on the judicial principle whereby a court of justice is authorized to determine the sense of and interpret all contracts, statutes, and written documents under its jurisdiction. Now, since the Torah was given to the Jewish people, it follows that they have the right to interpret it and determine its meaning in the same fashion as does a judicial court. n99 The most basic principle governing judicial interpretations is that exegesis cannot be used as an instrument designed to deauthorize the text and render it void. Accordingly, when there is ambiguity in a contract, statute, or constitution, it cannot be interpreted in a way that would void the document. Both "strict" and "liberal" methods of interpretation are limited by this overwhelming principle. An excellent example of this principle may be found in a responsum by the famous medieval jurist, Rabbi Asher. n100 A promissory note on Passover was brought to his Court for execution. Somehow the scribe had omitted the pronoun "this," which would have indicated that the first Passover from the time of the drafting was intended as the due date for the note. A strict interpretation of the contract would imply, as the promisor argued, that the note was due in a future, indeterminate Passover. A liberal interpretation, as the promisee argued, warranted an immediate execution of the contract, since it was clear that "this Passover" was intended. Although usually a strict interpreter of the text, Rabbi Asher decided in favor of the promisee, that is, the liberal interpretation. After a careful analysis of talmudic sources, he pointed out that an interpretation rendering an ambiguous contract invalid must be avoided. Now, he said, since the strict interpretation would render the note null and void, as the promisor could always argue that a later, indefinite
Passover was meant, the liberal interpretation was correct: [*1678]

Only when it can be explained in two ways, one favoring the promisor and another favoring the promisee, then we explain it against the promisee, as the burden of proof is on him. This is possible only when the contract would not be rendered ineffectual. However, in our case, if we would interpret it to mean an indefinite Passover, there would have been no reason for the contract to have been drafted in the first place. Therefore, we must surely assume that this immediate Passover was intended, and that the scribe erred and forgot to write the date. n101

The exclusion of subversive exegesis by the rabbis is quite clear in light of the preceding. The Gnostic, Christological, and antinomial interpretations of the Hebrew Scripture are designed to impugn the law ("derashot shel dovli"), rendering the text void and ineffectual. Therefore, they must be rejected for the same reason that such interpretations would be rejected by any court of justice. In the American legal system, both "strict" and "liberal" interpreters would concur that any interpretation whose ultimate consequences are the abolition of the Constitution, and the subversion of national authority and institutions, must be rejected. This is all the more true in Judaism where the authority to interpret stems from the text, rather than from an outside institution. As to the latter, if an argument concerning the validity of the law were correct, then an interpreter would have no authority to issue any interpretation.

III. Conclusion

Judaism admitted both "strict" and "liberal" interpretations of the text, and acknowledged, better than any one else, the collusion reader-text. This was always with the provision that the interpretation does not void the law. Thus, subversive exegeses were rejected as offensive and illegitimate. A peculiar technique of the subversive derashot is to tear a term or expression out of its general context, and give to it a meaning designed to subvert the law or a national institution. Addressing themselves to this concern, the rabbis formulated the principle that "kol midrash umidrash ke'inyano" ("every interpretation and interpretation must be context-bound"). n102 This means that the text must be interpreted in the light of the original context. The same principle is held in other legal systems, including the American legal system. Jurists warn against reading the law, "without regard for the surrounding jurisprudence - including its constitutional configurations - into which the statute must fit." n103 Concerning lawyers who take words and terms out of their context, disregarding their specific background and circumstances, a great legal scholar of our times wrote with indignation: "One of the most important contexts is that of the whole Act, and there is no more vicious method of argument than tearing words from a statute as some counsel do, without relating them to the whole purport of the enactment." n104

Judaism felt the same about the Law. Since ambiguity is intrinsic to the nature of language, one cannot interpret an obscure or laconic term in such a way as to render a law, or the entire Constitution, null and void. The perimeters of text-reader collusion in Judaism parallel the standard methodology applied in American courts of justice for the interpretation of contracts and legal texts.

Footnotes:


n8. Id. (translated by author).

n9. Id. (translated by author).

n10. See Faur, supra note 6, at 76-79, 118-23.


n12. Rabbi Moses ben Maimon, widely known as Maimonides, was one of the major medieval rabbinic authorities and philosophers. He lived from 1135 to 1204 c.e.

n13. The Geonim were heads of the Talmudic Academies in Babylonia between the seventh and tenth centuries.

n14. The state of ancient Israel may be described as democratic.

The biblical concept of state can be described as "democratic" with at least as much justice as the Mesopotamian form of government. It is the "people" (i.e. demos) of Israel who have a decisive say as to how and by whom they are to be ruled; it is they who set up their kings time and again.

E. A. Speiser, *The Biblical Idea of History in Its Common Near Eastern Setting*, in The Jewish Expression 1, 9 (Judah Goldin ed., 1976). The Jewish demos, however, was intrinsically different from that of the pagan world, because it perceived itself as bound to a higher Law, divine and immutable, according to which all human affairs, including those of the state and society, must accommodate.

n15. The term "theocracy" was first coined by Flavius Josephus to describe the political system of Israel. See 2 Josephus, *Against Apion* 165 (H. St. J. Thackeray trans., 1961). In other writings, this author has submitted that Josephus did not mean that God - as a pure theological concept - is directly and imminently the ruler of Israel. This would obviously lead into total chaos and anarchy. Rather, this term is meant to convey "the Law of God." Thus, the Law, given by God Himself, and representing His eternal and unchangeable will, is the constitution, and therefore the source of all authority in Israel. A more appropriate term to designate the Jewish constitution would have been nomocracy or "authority of the Law." The reason that Josephus preferred "theocracy" was to emphasize that in Judaism the Law stems from God, rather than from the will of the political sovereign and that through the Law, God Himself is governing. Josephus writes:

For us, with our conviction that the original institution of the Law was in accordance with the will of God, it would be rank impiety not to observe it. What could one alter in it? What more beautiful one could have been discovered? What improvement imported from elsewhere? Would you change the entire character of the constitution? Could there be a finer or more equitable polity than one which sets God at the head of the universe, which assigns the administration of its highest affairs to the whole body of priests, and entrusts to the supreme high-priest the direction of the other priests? ... But this charge further embraced a strict superintendence of the Law and of the pursuits of everyday life; for the appointed duties of the priests included general supervision, the trial of cases of litigation, and the punishment of condemned persons.

Id. at 184-88. Josephus summarized the principal elements of the Law. Since
"theocracy" came to be known as a government of God by the ecclesiastical authorities, this author now prefers the term "nomocracy." Actually, "theo-nomocracy" would be a more accurate description.

n16. For a close analysis of this fundamental concept, see Jose Faur, Understanding the Covenant, Tradition, Spring 1968, at 33; Jose Faur, Texte et Societe: histoire du texte revelé, in 1 La Société Juive a Travers l'Histoire 49-52 (Shmuel Trigano ed., 1992) hereinafter Faur, Texte et Societe.


n18. Deuteronomy 6:4. See Babylonian Talmud, Sukkah 42a; Maimonides, Mishneh Torah, Sefer Hamada, Hilkhut Talmud Torah 1:16; Shulan "Arukh, Yoreh De"ah 245:5.

n19. See Faur, supra note 5, at 152-60.


n23. See Maimonides, Mishneh Torah, Sefer Hamada, Hilkhut Yesodei Hatorah 8:2 (stating that belief in God is explicitly connected with the giving of the Law at Sinai).

n24. Maimonides, Mishneh Torah, Minyan Hamivrot, Mivat Ase 1.

n25. Accordingly, "heretic" is defined not as one who disbelieves in God, but as one "who declares" ("ha'omer") that there is no God. Maimonides, Mishneh Torah, Sefer Hamada, Hilkhut Yesodei Hatorah 3:7, 8.

n26. See Babylonian Talmud, Baba Meia 59a; Faur, supra note 6, at 13-14. See also Maimonides, Mishneh Torah, Sefer Hamada, Hilkhut Yesodei Hatorah 9:1.


n28. Id. at 985-87.

n29. Cf. id. at 991.


n32. Mishnah Avot 6:2 (translated by author).

n33. For further discussion on this subject, see Faur, Texte et Societe, supra note 16, at 70-74.


n35. See Faur, supra note 22, at 184.


n39. Id. (quoting 2 Chronicles 26:16).

n40. Id. at 478-79.

n41. Id. at 480.

n42. Leviticus 4:3-12.

n43. Id. at 13-21; cf. Numbers 15:22.

n44. Leviticus 4:22-26.

n45. The sequence - ecclesiastical, judicial, and political - is particularly significant. By mentioning the ecclesiastical authority first, it is implied that unless the authority of the Law is recognized, the priesthood cannot serve as agent of an expiatory offering. The stipulation that a sin by the high priest is "to the culpability of the people" ("le'ashmat ha'am"), Leviticus 4:3, emphasizes that the onus is on the people. Judaism does not accept the view that a people is not responsible for the crimes of its leaders. See Maimonides, *Mishneh Torah*, Sefer Shoftim, Hilkhon Melakhim 9:14. The conduct and demeanor of the sovereign rest on the proper functioning of the ecclesiastical and judicial authorities, not the other way around.

n46. See Babylonian Talmud, Horayot; Jerusalem Talmud, Horayot.

n47. See 1 Kings 21:1-29.

n48. Simeon ben Shetah was the head of the Jewish Sanhedrin (High Court) during the first century B.C.E.


n50. See Mishnah Berakhot 2:2.

n51. Babylonian Talmud, *Qiddushin* 42b (translated by author).

n52. See Maimonides, *Mishneh Torah*, Sefer Shoftim, Hilkhon Melakhim 3:9. For a summary of the main legal points included in these formulas, see Jacob Algazi, *Qebilat Ya'agov* 1c-4d (Lemberg, 1862).

n53. See Amos 7:7-17.


n55. See Mishnah Sukkah 4:9.

n56. Josephus, supra note 49, at 13:372-74. The story in Babylonian Talmud, Yoma 71b illustrates the feeling of disgust that the people had for this type of high priest, in contrast to the reverence that they had for the teachers of the law.

n57. See Faur, supra note 5, at 20-24.


n60. The most prominent figure of his time, Hai ben Sherira (939-1038) led the Pumbedita academy from 998 to 1038 C.E. 7 Encyclopaedia Judaica 1130 (1972).

n61. Hai Gaon, *Sha'are Teshuva* No. 86 (citing Isaiah 3:14) (translated by author).


n67. See Faur, supra note 65, at 54-56, 60-62.

n68. See Harry H. Wellington, *Interpreting the Constitution* 133 (1990) (quoting Abraham Lincoln, First Inaugural Address (Mar. 4, 1861)).


n70. See Wellington, supra note 68, at 131 (quoting Edwin Meese III, Speech at Tulane University (Fall 1986)).


n72. Wellington overlooked this point in his otherwise illuminating chapter on "Judicial Mistake," in Wellington, supra note 68, ch. 2.

n73. See U.S. Const. amend. I.

n74. Although personally relieved when Nixon resigned, this author was intellectually disappointed. Contrary to what many legal theorists argue, this author is not convinced that a sovereign could be tried in an American court. The very fact that a president could "pardon" someone indicates that the contrary may be the case. This is consistent with the above mentioned theory whereby "violence" stands at the basis of authority.


n76. Id. (translated by author).


n78. Leviticus 24:20 (translated by author).

n79. Babylonian Talmud, Baba Qamma 84a.


n81. It is highly doubtful that even the Samaritans would have been able to survive on their own, without the Jewish people.

n82. Deuteronomy 11:13 (translated by author).


n84. Tosefta, Berakhot 3:1.


n86. Id.

n87. On the two basic types of "connections" in rabbinic exegesis, see Faur, supra note 6, at xviii-xix.

n88. Babylonian Talmud, Shabbot 63a (translated by author). For a detailed analysis of this principle as well as of the concept of *peshat*, see Jose Faur, Basic Concepts in Rabbinic Hermeneutics, in 2 Studies in Jewish Philosophy (forthcoming). In short the *peshat* in rabbinic jurisprudence is analogous to Vico's *sensus communis*.

n89. See Faur, supra note 6, at 84-85.

n90. See Babylonian Talmud, Menaot 29b (translated by author).
n91. See supra text accompanying note 26.

n92. This point was analyzed and further elaborated in Faur, Texte et Societe, supra note 16, at 60-66.


n94. See 3 Oar Hageonim, Pesahim 71 & n.3.

n95. See Faur, supra note 6, at 122.

n96. See Faur, supra note 5, at 25-32.

n97. See Wellington, supra note 68, at 131.

n98. See Babylonian Talmud, Sanhedrin 99b; Faur, supra note 6, at xxii. There are two different versions of megalle panim. Concerning Mishnah Avot 3:14, Maimonides used the version megalle panim batorah. See 4 Maimonides, Pirush ba-Mishnayot 433 (Joseph Qafi ed., 1963). This is also how Maimonides codified it in Maimonides, Mishneh Torah, Sefer Hamada, Hilkhot Teshuva 3:11. This is probably the version that he had in Babylonian Talmud, Sanhedrin 99b. The more popular version, in both Mishnah Avot and Babylonian Talmud, Sanhedrin, is "megalle panim batorah shel kahalakshab" ("unveiling in the Torah directions contrary to the law") - that is, subversive homilies designed to void a particular law.

n99. See Faur, supra note 6, at 123-24.

n100. Also known as the Rosh, Rabbi Asher ben Jehiel lived from 1250 to 1321 C.E.

n101. Asher ben Jehiel (Rosh), Teshuqot Harosh, Responsum no. 14 (translated by author).


n103. See Wellington, supra note 68, at 31.


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